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Dated: January 7, 2005

Signature:

*Georgina Matos*  
(Georgina Matos)

PATENT  
Docket No. 249212014000  
Client Reference No. Q01-1020-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
George BELLESIS et al.

Serial No.: 09/933,920

Filing Date: August 20, 2001

For: OPTICAL TO MAGNETIC  
ALIGNMENT IN MAGNETIC TAPE  
SYSTEM

Examiner: J. Olson

Group Art Unit: 2651

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. The Examiner is requested to make these documents of record.

01/11/2005 BABRAHA1 00000116 031952 09933920

01 FC:1806 180.00 DA

Application Serial No. 09/933,920

This Supplemental Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has


Application Serial No. 09/933,920

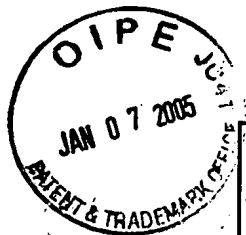
been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **249212014000**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 7, 2005

Respectfully submitted,

By   
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<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/933,920
				Filing Date	August 20, 2001
				First Named Inventor	George BELLESIS
				Art Unit	2651
				Examiner Name	J. C. Olson
Sheet	1	of	1	Attorney Docket Number	249212014000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1.	US-4,759,991	07-26-1988	Kanno et al.	
	2.	US-4,775,969	10-04-1988	Osterlund	
	3.	US-5,080,479-A	01-14-1992	Rosenberg	
	4.	US-5,122,727-A	06-16-1992	Janssen et al.	
	5.	US-5,382,463-A	01-17-1995	Adkins et al.	
	6.	US-5,475,673-A	12-12-1995	Adkins	
	7.	US-5,535,190-A	07-09-1996	Moore et al.	
	8.	US-5,579,717-A	12-03-1996	Crandell et al.	
	9.	US-5,655,475-A	08-12-1997	Crandell et al.	
	10.	US-5,906,867-A	05-25-1999	Kaige et al.	
	11.	US-5,914,151-A	06-22-1999	Usuki	
	12.	US-5,928,761-A	07-27-1999	Hedblom et al.	
	13.	US-6,018,434-A	01-25-2000	Saliba	
	14.	US-6,162,532-A	12-19-2000	Black et al.	
	15.	US-6,246,535-B1	06-12-2001	Saliba et al.	
	16.	US-6,275,349-B1	08-14-2001	Smith	
	17.	US-6,284,361-B1	09-04-2001	Kobayashi et al.	
	18.	US-6,365,061-B1	04-02-2002	Damer et al.	
	19.	US-6,493,174-B1	12-10-2002	Stubbs	
	20.	US-6,563,662-B1	05-13-2003	Smith	
	21.	US-6,768,608-B2	07-27-2004	Saliba et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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